

SUPPORT TO PARCELIZATION OF  
LANDS FOR INDIVIDUAL TITLING  
(SPLIT)  
P172399

**Environmental and Social Commitment Plan  
(ESCP)**

**SUPPORT TO PARCELIZATION OF LANDS FOR INDIVIDUAL TITLING (SPLIT) PROJECT**

**Environmental and Social Commitment Plan  
(ESCP)**

Republic of the Philippines  
**Department of Agrarian Reform**  
February 2020

1. The Republic of the Philippines (GoP) will implement the Support to Parcelization of Lands for Individual Titling Project (the Project), with the involvement of the following departments/agencies/units: Department of Agrarian Reform (DAR) as lead agency in cooperation of the Department of Environment and Natural Resources (DENR), Land Registration Authority (LRA), Land Bank of the Philippines (LBP), National Commission on Indigenous Peoples (NCIP), Department of Finance (DOF), Department of Interior and Local Government (DILG) and Local Government Units (LGUs). The International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project.
2. The GoP will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The GoP will also comply with the provisions of any other Environmental and Social (E&S) documents required under the ESF and referred to in this ESCP, such as the Environmental and Social Management Framework (ESMF), Resettlement Policy Framework (RPF), Indigenous Peoples Planning Framework (IPPF), Labor Management Procedures (LMP), and Stakeholder Engagement Plan (SEP), and the timelines specified in those E&S documents.
4. The GoP is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Department, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the GoP as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and GoP, this ESCP may be revised from time to time during project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the GoP/or the DAR will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the GoP/or DAR. DAR will promptly disclose the updated ESCP.
7. Where the Project changes, unforeseen circumstances, or project performance result in changes to the risks and impacts during project implementation, the GoP shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include risks and impacts concerning agrarian reform beneficiaries (ARBs), indigenous peoples, involuntary resettlement, environmental, health, and safety impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
<b>A</b>	<b>REGULAR REPORTING</b>  Prepare and submit to the Bank regular monitoring reports on the implementation of the environmental and social (E&S) documents (including assessment of site-specific plans) and ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.	Bi-annually	DAR
<b>B</b>	<b>INCIDENTS AND ACCIDENTS</b>  Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Within 48 hours after learning of the incident or accident	DAR

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>(a) Establish and maintain an organizational structure with qualified staff and resources to support management of E&amp;S risks and the implementation of the E&amp;S documents. In particular:</p> <ul style="list-style-type: none"> <li>(i) At national level, establish, and thereafter maintain a Central Project Management Office within FASPO, which shall be responsible for overall management of the Project's environmental and social risk and impact;</li> <li>(ii) At each region, establish, and thereafter maintain a Regional Project Management Office, which shall be responsible for management of the Project's environmental and social risk and impact of Project activities implemented at regional level; and</li> <li>(iii) At each province, establish, and thereafter maintain a Provincial Project Management Office, which shall be responsible for management of the Project's environmental and social risk and impact of Project activities implemented at provincial and municipal level.</li> </ul> <p>(b) Establish and maintain cooperation with partner agencies – DENR, LRA, NCIP, DILG and LGUs – through formal institutional arrangements (e.g. JAO, MOU, MOA).</p>	<p>No later than ninety (90) days after the Effective Date and throughout project implementation</p> <p>Prior to the commencement of any project activity in the respective region and throughout project implementation</p> <p>Prior to the commencement of any project activity in the respective province and throughout project implementation</p> <p>Sixty (60) days after the Effective Date</p>	DAR

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p><b>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <p>Update, adopt, and implement, the ESA (dated February, 2020) and the ESMF (dated February, 2020) that has been prepared for the Project, in a manner acceptable to the Bank.</p> <p>Land titling activities during the first year after project effectiveness are limited to three pilot regions and areas with no significant E&amp;S risks (e.g., no overlap with forest land and protected areas and ancestral domains).</p> <p>In parallel with the inventory prepared during the first year, undertake detailed assessment and consultations of E&amp;S risks as required by the ESA and ESMF prepared for the Project, particularly concerning Collective CLOAs that overlap with forest land, protected areas and ancestral domains, and as needed propose additional mitigation measures to be incorporated into the ESMF, RPF, IPPF and SEP. Submit assessment report and revised ESMF, RPF, IPPF and SEP, as needed, for Bank approval.</p>	<p>Throughout project implementation</p> <p>No later than nine (9) months after the Effectiveness Date.</p>	<p>DAR</p>
<p><b>1.3 MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>Screen and assess E&amp;S risks and impacts for site-specific Collective CLOAs in accordance with the ESMF, SEP, RPF and IPPF, and, thereafter, provide orientation to beneficiaries (e.g. on their rights and obligations), link beneficiaries to support services, and draft, adopt, and implement site-specific E&amp;S management plans, when required (see under respective ESSs), in accordance with the ESMF, SEP, RPF and IPP and in a manner acceptable to the Bank.</p> <p>Collective CLOAs that overlap with forest land, protected areas and ancestral domains will be included only after completion of detailed assessment described in 1.2.</p>	<p>Throughout project implementation. Site-specific E&amp;S management plans, when needed, are required prior to DAR's clearance to proceed with cancelling Collective CLOAs and processing individual titles. DAR will furnish any site-specific E&amp;S management plans upon request by the Bank.</p>	<p>DAR with input from DENR, LRA NCIP, DILG, LGUs, as needed</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.4	DAR, in collaboration with DOF, DILG and LGUs, will prepare guidelines to support vulnerable households concerning payment of real property taxes (e.g. staggered payments, lower fees).	No later than five (5) months after the Effective Date and prior to the commencement of any subdivision of Collective CLOAs.	DAR with DOF, DILG, LGUs
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<b>LABOR MANAGEMENT PROCEDURES</b>  Update, adopt, and implement the Labor Management Procedures (LMP) that have been developed for the Project, including occupational, health and safety measures.	Implement the LMP throughout project implementation. Update the LMP when needed as recommended in bi-annual E&S reviews, mid-term review or Bank supervision.	DAR
2.2	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b>  Establish, maintain, and operate a grievance mechanism for project workers, as described in the LMP and consistent with ESS2. Maintain grievance log, include in by-annual monitoring reports, which shall be shared with the Bank.	Grievance mechanism operational prior to engaging project workers and maintained throughout project implementation.	DAR
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b>  Apply the ESMF, prepare Collective CLOA specific Environment and Social Performance Checklist (CC-ESPC), and Individual CLOA Environmental and Social Performance Plans (IC-ESPP), and facilitate awareness raising and access to training for beneficiaries, on resource efficiency and pollution prevention and control.	Throughout project implementation and prior to distribution of individual CLOAs.	DAR with DENR and DA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
3.2	<p><b>INTEGRATED PEST MANAGEMENT:</b></p> <p>Apply the ESMF, prepare Individual CLOA Environmental and Social Performance Plans (IC-ESPP), facilitate awareness raising for ARBs, and facilitate linkage/access of ARBs to existing IPM programs of DA and DENR.</p>	Throughout project implementation and prior to distribution of individual CLOAs.	DAR with DENR, and ARBs
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>COMMUNITY HEALTH AND SAFETY:</b></p> <p>Implement the Worker's Code of Conduct, which is included in the ESMF, to manage potential risks and impacts to the community arising from project activities, including the behavior of project workers and GBV related risks, in a manner acceptable to the Bank.</p>	Throughout project implementation.	DAR, Contractors
4.2	<p><b>SECURITY PERSONNEL:</b></p> <p>Adopt and implement the security protocol for use of government law enforcement personnel, when required, consistent with the requirements of ESS4, in a manner acceptable to the Bank.</p>	Adopt prior to engaging government law enforcement personnel and thereafter implemented throughout project implementation.	DAR
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<p><b>RESETTLEMENT PLANS:</b></p> <p>Prepare, adopt, and implement resettlement plans (RPs) in accordance with ESS5 if required by the RPF prepared for the Project, in a manner acceptable to the Bank.</p>	Prepared before DAR's clearance to proceed with cancelling Collective CLOAs and processing individual titles, if required by the RPF. The first five (5) RPs will be submitted to the Bank for approval, after which it will be agreed between the Bank and Borrower whether subsequent RPs will require Bank approval. Once approved, RPs implemented prior to commencing project activities that involve land acquisition and resettlement impacts.	DAR with LGUs

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<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>Collective CLOAs IN FOREST AND PROTECTED AREAS:</b></p> <p>DAR will set-up a working group with partners (DENR, NCIP, DA) to review options for forest and protected areas management. Working group will lead the preparation of a report of scope of overlaps between CLOAs and forest lands and protected areas, legal technical review, institutional review, socio-economic review, with recommended measures to address risks and impacts, including the determination of appropriate tenurial instruments to be issued and cooperation between DENR, DAR and other relevant agencies.</p>	No later than sixty (60) days after the Effective Date.	DAR
6.2	<p><b>PROTECTED AREAS/BIODIVERSITY CONSERVATION:</b></p> <p>Apply ESMF, and measures as determined by the working group in 6.1, to screen for CLOAs within designated protected areas. Collective CLOAs, or portions thereof, inside designated protected areas will be carved out and issued with other tenure instruments (e.g. Protected Area Community-based Resource Management Agreement - PACBRMA) issued by DENR, facilitated by DAR.</p> <p>DAR, NCIP (when required in AD areas) and DENR will assist community prepare, or update existing, Protected Area Management Plans (PAMP) or similar instruments in areas issued with PACBRMA.</p> <p>Additional recommendations from above working group will be applied.</p>	<p>Throughout project implementation. Once DENR approves, ARBs implement the PAMPs, subject to monitoring by DENR. DAR will monitor sample PAMPs during implementation. DAR and DENR will furnish any PAMPs upon request by the Bank.</p>	DAR with DENR and NCIP



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
6.3	<p><b>FOREST/WATERSHED PRESERVATION/PROTECTION</b></p> <p>Collective CLOAs, or portions thereof, inside classified protection forest areas will not be issued individual CLOA, but will be issued with other tenure instruments (e.g. Community-Based Forest Management Agreement - CBFMA) issued by DENR, facilitated by DAR.</p> <p>DAR, NCIP (when required in ADs) and DENR will assist the community prepare, or update existing, Community-Based Forest Management Plans (CBFMP) or similar instruments.in areas issued with CBFMAs.</p> <p>Additional recommendations from above working group will be applied.</p>	<p>Throughout project implementation. Once DENR approves the CBFMP, ARBs will implement the CBFMP, subject to monitoring by DENR. DAR will monitor sample CBFMPs during implementation. DAR and DENR will furnish any CBFMPs plans upon request by the Bank.</p>	<p>DAR with DENR and NCIP</p>
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<p><b>INDIGENOUS PEOPLES PLAN:</b></p> <p>Prepare, adopt, and implement Indigenous Peoples Plans (IPPs) consistent with the requirements of ESS7 and the IPPF prepared for the Project, including ESS7 provisions for Free Prior Informed Consent, in a manner acceptable to the Bank.</p>	<p>Prepared prior to [DAR's?] clearance to proceed with cancelling Collective CLOAs and process individual titles. The first five (5) IPPs will be submitted for the Bank's prior review and approval, after which it will be agreed between the Bank and Borrower whether subsequent IPPs will require Bank approval. DAR will furnish IPPs upon request by the Bank.</p>	<p>DAR with NCIP</p>
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<p><b>SCREENING FOR CULTURAL HERITAGE:</b></p> <p>Screen Collective CLOAs in accordance with the ESMF, to ensure the identification and avoidance of impacts on cultural heritage (sites will be excluded from titling).</p>	<p>Throughout project implementation.</p>	<p>DAR, ARBs</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	This ESS is not relevant to the Project.		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION:</b>  Update (as needed), adopt, and implement the Stakeholder Engagement Plan (SEP).	Throughout project implementation. Update as recommended in bi-annual ESF reviews, mid-term review or Bank supervision.	DAR
10.2	<b>COMMUNICATION PLAN:</b>  Prepare detailed communication plan, including orientation awareness raising for beneficiaries.	No later than four (4) months after the Effective Date and prior to the commencement of any subdivision of Collective CLOAs.	DAR
10.3	<b>PROJECT GRIEVANCE MECHANISM:</b>  Update (as needed), adopt, maintain and operate a grievance mechanism, as described in the SEP.	Throughout project implementation. Update as recommended in bi-annual ESF reviews, mid-term review or Bank supervision.	DAR
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	Training for ESS Focal Persons (at National, Region, Provincial and Municipal levels) on: <ul style="list-style-type: none"> <li>• stakeholder mapping and engagement</li> <li>• specific aspects of environment and social assessment</li> <li>• emergency preparedness and response</li> <li>• community health &amp; safety</li> <li>• gender</li> <li>• grievance redress mechanism</li> <li>• dispute resolution</li> <li>• documentation and reporting</li> </ul>	From effectiveness and throughout implementation. Focal persons in the three pilot regions for first year implementation will be trained by October 2020.	DAR

SPLIT PROJECT - ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
CS2	Training for project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.	From effectiveness and throughout implementation. All field workers will receive training before field work commence.	DAR
CS3	Facilitate access of ARBs to existing support services and training on sustainable land management, organic farming and natural farming systems, agro-forestry, slope stabilization/protection, water conservation, green livelihoods and the like.	Throughout project implementation and prior to distribution of individual CLOAs.	DAR, DENR and DA